

Particulars	Individual	Company (Public Ltd or pvt Ltd)	Partnershi p firm (other than LLP)	LLP	Other body corporates (except company & partnership firm / LLP)
*Copies of Certificates of minimum qualification u/r 7(1)	√	√	√	√	√
*Copies of additional certification u/r 7(2)	√	√	√	√	√
Identity proof	√	√	√	√	√
Address proof	√	√	√	√	√
Details of ownership/directorship in any companies/ firm / LLP	√	NA	NA	NA	NA
Copy of Income Tax Return/ Copy of Form 16 for the last 3 years	√	NA	NA	NA	NA
#CA certificate on assets and liabilities/ networth statement and net tangible assets	√	√	√	√	√
Shareholding pattern / beneficial ownership pattern	NA	√	√	√	
Directors' profile	NA	√			√
Identity & Address proof of directors / partners	NA	√	√	√	√
Declaration containing prescribed details as per attached format	√	√	√	√	√
Credit Report / CIBIL Score (For applicants other than financial institutions & banking companies) www.cibil.com	√	√	√	√	√
Initial application fees (Amt in Rs.)	5000	25000	5000	25000	25000
SEBI registration fees (post-application approval) - Amt in Rs.	10000	500000	10000	500000	500000

\* In case of companies / firms/ LLP/ other body corporates, the certification details of authorised representatives should be provided

# CA certificate should not more than six months old at the time of filing of application